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FORM PTO-1390  
(REV. 9-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER  
B-4458PCT 619452-2

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

no 10/032000  
yet assigned

INTERNATIONAL APPLICATION NO.  
PCT/GB01/02291

INTERNATIONAL FILING DATE  
23 May 2001

PRIORITY DATE CLAIMED  
24 May 2000

TITLE OF INVENTION

"LOCATION-BASED DATA ACCESS CONTROL"

APPLICANT(S) FOR DO/EO/US


(1) James Thomas Edward McDonnell (2) Andrew Thomas (3) John Deryk Waters (4) Simon Edwin Crouch (5) Paul Vickers

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed and as published.
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment: with Appendix A, Appendix B, and Appendix C.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - Copy of PCT Request
  - Claim to Priority
  - copy of International Search Report and copy of Great Britain Search Report
  - (see Information Disclosure Statement (IDS))

|  |              |   |                   |   |           |
|--|--------------|---|-------------------|---|-----------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br>not yet assigned <b>10/032000</b>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/GB01/02291 |                   | ATTORNEY'S DOCKET NUMBER<br>B-4458PCT 619452-2  |           |
| 21 <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482) **<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO ..... <b>\$1040.00</b><br><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b><br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b><br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b><br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b><br><br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> |              |   |                   | <b>CALCULATIONS PTO USE ONLY</b>  |           |
|  |              |   |                   | <b>\$ 890.00</b>  |           |
|  |              |   |                   | Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30<br>months from the earliest claimed priority date (37 CFR 1.492(e)). |           |
|  |              |   |                   | <b>\$</b>   |           |
|  |              |   |                   | <b>\$</b>   |           |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA                                    | RATE              |   |           |
| Total claims   | 12 - 20 =    | 0   | x <b>\$18.00</b>  | <b>\$ 0</b>   |           |
| Independent claims   | 2 - 3 =      | 0   | x <b>\$84.00</b>  | <b>\$ 0</b>   |           |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0*   |              |   | + <b>\$280.00</b> | <b>\$ 0</b>   |           |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |                   | <b>\$ 890.00</b>  |           |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |   |                   | <b>\$ 890.00</b>  |           |
| <b>SUBTOTAL =</b>  |              |   |                   | <b>\$ 890.00</b>  |           |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30<br>months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |   |                   | <b>\$</b>   |           |
| <b>TOTAL NATIONAL FEE =</b>  |              |   |                   | <b>\$ 890.00</b>  |           |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +   |              |   |                   | <b>\$</b>   |           |
| <b>TOTAL FEES ENCLOSED =</b>   |              |   |                   | <b>\$ 890.00</b>  |           |
|  |              |   |                   | <b>Amount to be<br/>refunded:</b>   | <b>\$</b> |
|  |              |   |                   | <b>charged:</b>   | <b>\$</b> |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>890.00</u> to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.<br>A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any<br>overpayment to Deposit Account No. <u>12-0415</u> . A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card<br/>         information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.  |              |   |                   |   |           |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR<br>1.137 (a) or (b)) must be filed and granted to restore the application to pending status.<br>SEND ALL CORRESPONDENCE TO: <u>January 22, 2002</u><br><div style="display: flex; justify-content: space-between;"> <div>           LADAS &amp; PARRY<br/>           5670 Wilshire Boulevard, Suite 2100<br/>           Los Angeles, California 90036-5679<br/><br/>           Telephone: (323) 934-2300 Facsimile: (323) 934-0202         </div> <div style="text-align: right;">           DATE _____<br/>           SIGNATURE <br/>           Richard P. Berg<br/>           NAME<br/>           28,145<br/>           REGISTRATION NUMBER         </div> </div>   |              |   |                   |   |           |